

01-23-02

11/07/01

11/07/01

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 09792909-5258

First Named Inventor or Application Identifier

Hiroshi Inoue

Express Mail Label No: EL834556620US

Only for new non-provisional applications under 37 CFR 1.53(b)

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 34
2. ☒ Drawing(s) (35USC 113) Total Pages 2
3. ☒ Declaration and Power of Attorney Total Pages 2
- a. ☐ Unexecuted (original or copy)
- b. ☐ Copy from prior application (37CFR 1.53(d))  
(for continuation/divisional with Box 14 completed)

[Note Box 4 Below]

- i.
- ☐
- DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.53(d)(2) and 1.33(b).

4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which  
a copy of the oath or declaration is supplied under Box 3b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

## ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document to follow (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11. ☐ Applicant is a Small Business Entity
12. ☐

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

## CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$740.00
TOTAL CLAIMS		12		\$18.00	0.00
INDEPENDENT CLAIMS		2		\$4.00	0.00
	ANY MULTIPLE DEPENDENT CLAIMS?			280.00	0.00
	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				
				TOTAL FEE	740.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$740.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal  
P.O. Box #061080  
Wacker Drive Station - Sears Tower  
Chicago, IL 60606  
Telephone 312/876-8000  
Customer ID #26263

SIGNATURE

Christopher P. Rauch (Reg. No. 48,034)

Date: November 7, 2001

U-11

EXPRESS MAIL #EL834556620US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EL834556620US

Date of Deposit: November 7, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Asst. Commissioner for Patents, Washington, D.C. 20231.

Applicant(s): Hiroshi Inoue, Shinichiro Yamada and Takuya Endo

Attorney Docket No. 09792909-5258

Unexecuted Declaration

Letter Under 37 C.F.R. §1.41(c)

Preliminary Amendment

Utility Patent Application Transmittal Form (and one copy)

Specification (34 pages)

Drawings (2 sheets - Figs. 1-2)

\$740.00 filing fee (12 claims - 2 independent)

Return postcard

  
\_\_\_\_\_  
Signature of Person Mailing Application and Fees

10053467\*110701

8000 Sears Tower  
233 South Wacker Drive  
Chicago, IL 60606  
312.876.8000  
312.876.7934 fax  
www.sonnenschein.com

Chicago  
Kansas City  
Los Angeles  
New York  
San Francisco  
St. Louis  
Washington, D.C.  
West Palm Beach

November 7, 2001

Assistant Commissioner of Patents  
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled  
"NEGATIVE ELECTRODE ACTIVE MATERIAL AND NONAQUEOUS  
ELECTROLYTE BATTERY"  
Applicant(s) Hiroshi Inoue, et al.  
Attorney Docket No. 09792909-5258

Dear Sir:

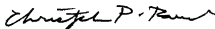
Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,  
including 12 claims (2 independent), 2 sheets of drawings (Figs. 1-2) and the filing fee of  
\$740.00 on behalf of

HIROSHI INOUE, SHINICHIRO YAMADA and TAKUYA ENDO

and request that the application be assigned a serial number and filing date pursuant to the  
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHIN NATH & ROSENTHAL



By:

Christopher P. Rauch

Enclosures

10053467-110701